

jc960 U.S. PTO
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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032.

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 1320-050

First Inventor David Walter Rose

Title Surface-Profiling...Therefor

Express Mail Label No. EL721134449US

05/23/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 31]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 2]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Check for \$728 filing fee; Check for \$40 recordatio

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No.: _____ / _____

Group Art Unit: _____

Prior application information

Examiner _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			<input checked="" type="checkbox"/> Correspondence address below
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Name (Print/Type)	Lowell W. Gresham	Registration No. (Attorney/Agent)	31,165
Signature	<i>Lowell W. Gresham</i>		Date 23 May 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

In the application of: David Walter Rose

Serial No.:

Filed: Herewith

For: **SURFACE-PROFILING SYSTEM AND METHOD THEREFOR**

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

The filing fee has been calculated as shown below

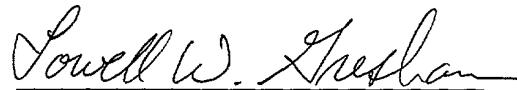
	Number Filed			Number Extra
Basic Fee				
Total	21	Minus	20	= 1
Indep	3	Minus	3	= 0
First Presentation of Mult. Dep. Claims				

Small Entity		Other than a Small Entity	
RATE	Fee	RATE	Fee
	\$ 355		\$ 710
X 9	\$ -0-	x 18	\$ 18
X 40	\$ -0-	x 80	\$ -0-
X 135	\$ -0-	x 270	\$ -0-
Total	\$ -0-	or Total	\$ 728

- A check in the amount of \$728.00 is enclosed
 A duplicate copy of this transmittal sheet is enclosed.

DATED: 23 May 2001

Respectfully Submitted.



Lowell W. Gresham
Attorney for Applicant(s)
Reg. No. 31,165

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David Walter Rose,

Serial No.:

Filed: HEREWITH

For: **SURFACE-PROFILING SYSTEM AND METHOD THEREFOR**

CERTIFICATE OF MAILING BY EXPRESS MAIL

"Express Mail" mailing label number EL721134449US

Date of Deposit 23 May 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby certify that this correspondence, consisting of this Certificate of Mailing by Express Mailing, Application Transmittal Form; Fee Transmittal Form (in duplicate); Declaration and Power of Attorney; Patent Application (31 sheets); Three (3) sheets of drawings and three (3) copies of same; Information Disclosure Statement, w/PTO Form-1449 and copies of references cited; Assignment; Assignment Recordation Cover Sheet; Check in the amount of \$728.00 for filing fee, Check in the amount of \$40.00 for recordation fee; and a Postcard is being deposited in the United States Postal Service as Express Mail in an envelope addressed to:

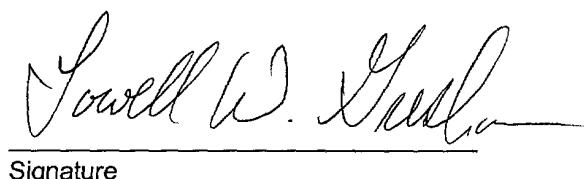
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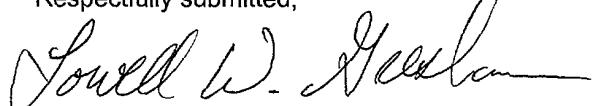
Date

23 May 2001



Signature

Respectfully submitted,



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